

Customized FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. P07374US00/BAS TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. DESIGNATED/ELECTED OFFICE (DO/EO/US) (If known. see 37CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 09/937,035 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 23 MARCH 1999 PCT/FR00/00725 22 MARCH 2000 TITLE OF INVENTION: METHOD FOR DETECTING PREDISPOSITION TO A VENOUS THROMOEMBOLIC . . . APPLICANT(S) FOR DO/EO/US: EMMERICH, Joseph et al. Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. Z. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1). 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). **⊠** 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). □ 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments had NOT expired. d. have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the Int'l Prelim. Exam. Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A First preliminary amendment. 14. A Second or Subsequent preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 & 35 USC 1.821-825. 18. A second copy of the published international application under 35 USC 154(d)(4). 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). 20. Other items or information: Diskette \mathbf{x} A copy of the Notification of Missing Requirements under 35 U.S.C. 371. In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c). Date: 19 November 2001

		U.S. APPLICATION NO. (If known) INTERNATIONAL APPLICATION NO. PCT/FR00/0072		A	ATTORNEY DOCKET NO. P07374US00/BAS			
	□ 21. The following fees are submitted:						CALCULATIONS PTO USE ONLY	
	☐ Basic National Fee (37 CFR 1.492 (a) (1)-(5):							
	☐ Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$1000 ☐ Search Report has been prepared by the EPO or JPO \$ 860 ☐ No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 710 ☐ International preliminary examination fee paid to USPTPO \$ 690					\$1000		
						\$ 860		:
						\$ 710		
						\$ 690		
	Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) \$ 100							1
•	ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130 for furnishing the oath or declaration later than 20 mos.						\$	
	from the earliest claimed priority date (37 CFR 1.492(e)				_	mos. +	\$ 130.00	
	CLAIMS	NUMBER FILE	D NUMBER EX	TRA	RATE			ļ
:	Total Claims	9 - 20 =			X \$18	=	\$	
•	Independent Claims	Independent Claims 3 - 03 =				X \$80 =		
	Multiple Depend	Multiple Dependent Claim(s) (if applicable)			+ \$270 =		\$	
		TOTAL OF ABOVE CALCULATIONS =						
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$	
	SUBTOTAL =						\$ 130.00	
	Processing fee of \$130 for furnishing the English translation later than from the earliest claimed priority date (37 CFR 1.492(f)).						\$ 130.00	
	TOTAL NATIONAL FEE =						\$ 260.00	
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property						\$ 40.00	I
•	TOTAL FEES ENCLOSED =						\$ 300.00	
11/20/2001	130.00 OP					Refunded	\$	
01 FC:154						11 10 00	Charged	\$
02 FC:156	a. A check in the amount of \$300.00 to cover the above fees is enclosed.							
	b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the							
	 c. The Commissioner is hereby authorized to charge any additional fees required or credit overpayment to Deposit Account No. 12-0555. 							
	Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, 1.137(a) or (b)) must be filed and granted to restore the application to pending st							ive (37 CFR
								1
	B. Aaron Schulman			SIGNATURE: BALL				
	At the address (below) of CUSTOMER NO. 00881.			NAME: B. Aaron Schulman				
	LARSON & TAYLOR, PLC			REG.	REG. NO.: 31,877			
	1199 NORTH FAIRFAX ST. SUITE 900			PHONE NO.: 703-739-4900				
	ALEXANDRIA, VA 22314			Date: 19 November 2001				

× ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. P07374US00/LRP Joseph Emmerich 09/937,035 INTERNATIONAL APPLICATION NO. PCT/FR00/00725 I.A. FILING DATE PRIORITY DATE 00881 LARSON & TAYLOR, PLC 03/23/1999 03/22/2000 1199 NORTH FAIRFAX STREET NU SUITE 900 **CONFIRMATION NO. 5320** ALEXANDRIA, VA 22314 **371 FORMALITIES LETTER** LARSON AND TAYE OC000000007048344*

Date Mailed: 11/09/2001

1-9-2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

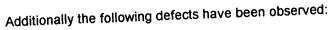
- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the
 application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



 Additional claim fees of \$528 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$658 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$528
 - \$240 for 3 independent claims over 3.
 - \$288 for 16 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

P	ART 1 - ATTORNEY/APPLICANT COTT	
	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
U.S. APPLICATION NUMBER NO. 09/937,035	PCT/FR00/00725	P07374US00/LRP